

PTO/SB/17 (01-03)  
Approved for use through 04/30/2003 GMB 0651-0052  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003 Patent fees are subject to annual revision

☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**930.00**

## Complete if Known

Application Number **09/886,942**  
 Filing Date **June 21, 2001**  
 First Named Inventor **Juha Punnonen**  
 Examiner Name **Gerald G. Jeffers Jr.**  
 Art Unit **1636**  
 Attorney/Doctery No. **179,210US**

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account**50-0990****Maxygen, Inc.**

The Commissioner is authorized to check all that apply

☒ Charge (fees) incurred below ☒ Credit any overpayments☒ Charge any additional fees during the pendency of this application☒ Charge (fees) indicated below, except for the filing fee

to the above-referenced deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Code (1)	Small Entity Code (2)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			<b>000.00</b>

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Large Entity Code (1)	Small Entity Code (2)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (2) (\$)			<b>000.00</b>

Total Claims

Independent Claims

Multiple Dependent

Multiple Dependent

Multiple Dependent

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## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Code (1)	Small Entity Code (2)	Fee Description	Fee Paid
1051 150	2051 75	Surcharge - late filing fee or claim	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 65	Non-English specification	
1812 2,520	1812 1,260	For filing a request for ex parte reexamination	
1804 920*	1804 460*	Requesting publication of AIR prior to Examiner action	
1805 1,840*	1805 920*	Requesting publication of AIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 755	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 65	Payments to the Commissioner	
1807 50	1807 25	Processing fee under 37 CFR 1.17(g)	
1808 100	1808 50	Submission of Information Disclosure Sheet	
8021 40	8021 20	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(p))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(p))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 450	Request for expedited examination of a design application	
Other fee (specify):			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$)			<b>930.00</b>

## SUBMITTED BY

Name (Print/Type) **Margaret A. Powers**

Registration No. (Attorney/Agent)

**39,804**

## (Complete if applicable)

Telephone **650-288-5809**

Signature

*Margaret A. Powers*

Date

**May 9, 2003**

## Certificate of Facsimile Transmission under 37 C.F.R. §1.8

I her by certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, facsimile number 703-872-9306 on this date: **May 9, 2003**

Typed or Printed Name

**Margaret A. Powers**

Signature

*Margaret A. Powers*

Date

**May 9, 2003**

Please type a plus sign (+) inside this box → **+**Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/886,942	
	Filing Date	June 21, 2001	
	First Named Inventor	Juha Punnonen	
	Group Art Unit	1636	
	Examiner Name	Gerald G. Jeffers Jr.	
Total Number of Pages in This Submission	36	Attorney Docket Number	179.210US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="text-align: center; font-size: 2em; font-weight: bold;">OFFICIAL</div>
Authorization to Charge Deposit Account Please charge Deposit Account No. 50-0090 for any additional fees associated with this paper or during the pendency of this application, including any extension of time for consideration of the documents enclosed		
Remarks		<b>FAX RECEIVED</b> MAY 12 2003

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		GROUP 1600
Firm or Individual name	Margaret A. Powers, Reg. No. 39804	
Signature	<i>Margaret A. Powers</i>	
Date	May 9, 2003	

CERTIFICATE OF FACSIMILE TRANSMISSION	
I hereby certify that this correspondence is being transmitted by facsimile to Group 1600 of the United States Patent and Trademark Office, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:	
Typed or printed name	Margaret A. Powers
Signature	<i>Margaret A. Powers</i>
Date	May 9, 2003

-11/19/13

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5-21-13

I hereby certify that the correspondence is being transmitted by facsimile to Group 1636 of the United States Patent and Trademark Office to facsimile number 703-872-9306 on May 9, 2003.

By: Margaret A. Powers  
MAXYGEN, INC.Attorney Docket No.: 0179.210US  
(formerly Attorney Docket No.:  
02-031910US)**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Juha Punnonen, et al.

Examiner: Leffers Jr., Gerald G.

Art Unit: 1636

Application No.: 09/886,942

Filed: June 21, 2001

**AMENDMENT**For: **NOVEL CHIMERIC PROMOTERS**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated December 6, 2002, Applicants respectfully request reconsideration of the above-identified application in view of the following amendments and remarks. The following documents are enclosed herewith:

- 1) A Petition for Extension of Time Under 37 C.F.R. § 1.136(a) to extend the period of response for three months from March 6, 2003 to June 6, 2003;
- 2) Fee Transmittal; and
- 3) Transmittal Form.

Please amend the above-identified application as follows:

**Amendments to the Specification** begin on page 2 of this paper.**Amendments to the Claims** begin on page 9 of this paper.**Remarks** begin on page 21 of this paper.